

Form for Opening Accounts/Custody Accounts
 (Joint accounts/custody accounts with joint/individual right of disposal – contracting parties are natural persons)

Account/custody account holders

Account/custody account no.

Further information pursuant to the GwG¹, KWG² and AO³

The Bank is obligated by law to collect and record in writing the following information.

Nature and purpose of business relationship	
<input type="checkbox"/> Payments (e.g. current account) <input type="checkbox"/> Investment <input type="checkbox"/> Lending	<input type="checkbox"/> Other:

Clarification of the beneficial owner(s)⁴

- We are acting in our own economic interest and not at the behest of any third party (particularly not as a trustee).
- We are acting at the behest of the following natural person. (If the contracting party is acting at the behest of a company, its name/registered seat should be entered here and attention paid to footnote 4.)

Name (where applicable, name of company)	First name(s)	(if necessary, further identifying features (e.g. address/registered seat))

Duty of the account/custody account holder to cooperate under the GwG⁵

The account/custody account holders are obligated to immediately report to the Bank any changes in the mandatory information provided to it that may arise in the course of the business relationship.

Date, signatures of account/custody account holders

Other processing information

Form of account/custody account holder identification

Name	A	B	C
Identity document (Type of document, number, issued by, on)	<input type="checkbox"/> see copy of identity documents	<input type="checkbox"/> see copy of identity documents	<input type="checkbox"/> see copy of identity documents
Identification by other means	<input type="checkbox"/> Identification by eligible third parties (e.g. PostIdent procedure) <input type="checkbox"/> Remote identification pursuant to Section 6 (2) No. 2 GwG (electronic signature) <input type="checkbox"/> As the contracting party had already been identified on a previous occasion and the information collected at the time was recorded, reidentification could be waived. Account no.:	<input type="checkbox"/> Identification by eligible third parties (e.g. PostIdent procedure) <input type="checkbox"/> Remote identification pursuant to Section 6 (2) No. 2 GwG (electronic signature) <input type="checkbox"/> As the contracting party had already been identified on a previous occasion and the information collected at the time was recorded, reidentification could be waived. Account no.:	<input type="checkbox"/> Identification by eligible third parties (e.g. PostIdent procedure) <input type="checkbox"/> Remote identification pursuant to Section 6 (2) No. 2 GwG (electronic signature) <input type="checkbox"/> As the contracting party had already been identified on a previous occasion and the information collected at the time was recorded, reidentification could be waived. Account no.:
TIN ⁶	Tax Identification Number of account/custody account holder	Tax Identification Number of account/custody account holder	Tax Identification Number of account/custody account holder
PEP status ⁷	<input type="checkbox"/> has been determined <input type="checkbox"/> does not need to be determined	<input type="checkbox"/> has been determined <input type="checkbox"/> does not need to be determined	<input type="checkbox"/> has been determined <input type="checkbox"/> does not need to be determined

<input type="checkbox"/> Newly opened Withdrawal notice for savings deposits: <input type="checkbox"/> Alteration Additional processing information	Place, date, signature of bank employee
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Space for remarks where the information collected is updated

¹Geldwäschegesetz (GwG) = German Anti-Money Laundering Act ²Kreditwesengesetz (KWG) = German Banking Act ³Abgabenordnung (AO) = German Tax Code ⁴The beneficial owner(s) is (are) always the natural person(s) at whose behest the account/custody account is ultimately opened. If such beneficial ownership status arises indirectly – via a company, for example – the information should be recorded on one of the forms provided for this purpose, i.e. Form 41.521 (corporations/companies), Form 41.522 (partnerships), Form 41.523 (foundations or comparable legal entities), or separately. ⁵Sections 4 (6) and 6 (2) No. 1 GwG. ⁶The Tax Identification Number (TIN) only needs to be entered if the account/custody account holder/beneficial owner is a natural person resident in another EU member state or in an associated or dependent territory of an EU member state to which Germany reports interest payments under the Interest Information Regulation (Zinsinformationsverordnung – ZIV). ⁷PEP = Politically Exposed Person – Determination of PEP status is only necessary for natural persons resident abroad.

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Ausfertigung für den Kunden (Copy for account holder)

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