

**Form for Opening Accounts/Custody Accounts
(Contracting party is a natural person)**

Account/custody account holder

Account/custody account no.

**Further information pursuant to the
GwG¹, KWG² and AO³**

The Bank is obligated by law to collect and record in writing the following information.

Nature and purpose of business relationship	
<input type="checkbox"/> Payments (e.g. current account) <input type="checkbox"/> Investment <input type="checkbox"/> Lending	<input type="checkbox"/> Other:

Clarification of the beneficial owner⁴

- I am acting in my own economic interest and not at the behest of any third party (particularly not as a trustee)
- I am acting at the behest of the following natural person. (If the contracting party is acting at the behest of a company, its name/registered seat should be entered here and attention paid to footnote 4.)

Name (where applicable, name of company)	First name(s)	(if necessary, further identifying features (e.g. address/registered seat))

Duty of the account/custody account holder to cooperate under the GwG⁵

The account/custody account holder is obligated to immediately report to the Bank any changes in the mandatory information provided to it that may arise in the course of the business relationship.

Place, date, signature of account/custody account holder

Other processing information

Form of account/custody account holder identification

Identity document (Type of document, number, issued by, on) <input type="checkbox"/> see copy of identity documents	<input type="checkbox"/> Identification by eligible third parties (e.g. PostIdent procedure) <input type="checkbox"/> Remote identification pursuant to Section 6 (2) No. 2 GwG (electronic signature)	PEP status ⁶ <input type="checkbox"/> has been determined <input type="checkbox"/> does not need to be determined
<input type="checkbox"/> As the contracting party had already been identified on a previous occasion and the information collected at the time was recorded, reidentification could be waived. Account no.: _____		

<input type="checkbox"/> Newly opened <input type="checkbox"/> Alteration	Withdrawal notice for savings deposits:	Additional processing information
TIN _____ Tax Identification Number of account/custody account holder/beneficial owner		Place, date, signature of bank employee

Space for remarks where the information collected is updated

¹Geldwäschegesetz (GwG) = German Anti-Money Laundering Act ²Kreditwesengesetz (KWG) = German Banking Act ³Abgabenordnung (AO) = German Tax Code ⁴The beneficial owner(s) is (are) always the natural person(s) at whose behest the account/custody account is ultimately opened. If such beneficial ownership status arises indirectly – via a company, for example – the information should be recorded on one of the forms provided for this purpose, i.e. Form 41.521 (corporations/companies), Form 41.522 (partnerships), Form 41.523 (foundations or comparable legal entities), or separately. ⁵Sections 4 (6) and 6 (2) No. 1 GwG. ⁶PEP = Politically Exposed Person – Determination of PEP status is only necessary for natural persons resident abroad. ⁷The Tax Identification Number (TIN) only needs to be entered if the account/custody account holder/beneficial owner is a natural person resident in another EU member state or in an associated or dependent territory of an EU member state to which Germany reports interest payments under the Interest Information Regulation (Zinsinformationsverordnung – ZIV).

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Ausfertigung für die Bank (Copy for the account holder)