

**Form for Opening Accounts/Custody Accounts
(Contracting party is a natural person)**

Account/custody account holder

Account/custody account no.

**Further information pursuant to the
GwG¹, KWG² and AO³**

The Bank is obligated by law to collect and record in writing the following information.

Nature and purpose of business relationship	
<input type="checkbox"/> Payments (e.g. current account) <input type="checkbox"/> Investment <input type="checkbox"/> Lending	<input type="checkbox"/> Other:

Clarification of beneficial owner⁴

- I am acting in my own economic interest and not at the behest of any third party (particularly not as a trustee).
- I am acting at the behest of the following natural person. (If the contracting party is acting at the behest of a company, its name/registered seat should be entered here and attention paid to footnote 4.)

Name (where applicable, name of company)	First name(s)	if necessary, further identifying features (e.g. address/registered seat)

Duty of the account/custody account holder to cooperate under the GwG⁵

The account/custody account holder is obligated to make available the information and documents necessary for determining beneficial owners. Further information under tax law should be recorded on form 41.350 and included in the account-opening file. The account/custody account holder must immediately report to the Bank any changes in the mandatory information provided to it that may arise in the course of the business relationship.

Place, date, signature of account/custody account holder

Other processing information

Form of account/custody account holder identification

Identity document (Type of document, number, issued by, on) <input type="checkbox"/> see copy of identity documents	<input type="checkbox"/> Identification by suitable third parties (e.g. PostIdent procedure) <input type="checkbox"/> Remote identification pursuant to Section 6 (2) No. 2 GwG (electronic signature)
<input type="checkbox"/> As the contracting party had already been identified on a previous occasion and the information collected at the time was recorded, reidentification could be waived. Account no.: _____	

<input type="checkbox"/> Newly opened <input type="checkbox"/> Alteration	Withdrawal notice for savings deposits:	Additional processing information
		Place, date, signature of bank employee

PEP⁶ status Contracting party: <input type="checkbox"/> has been determined <input type="checkbox"/> will be determined before initial account access Beneficial owner: <input type="checkbox"/> has been determined <input type="checkbox"/> will be determined before initial account access Place, date, signature of bank employee	Space for remarks where the information collected is updated Place, date, signature of bank employee
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¹Geldwäschegesetz (GwG) = German Anti Money Laundering Act. ²Kreditwesengesetz (KWG) = German Banking Act. ³German Fiscal Code (Abgabenordnung). ⁴The beneficial owner(s) is/(are) always the natural person(s) at whose behest the account/custody account is ultimately opened. If such beneficial ownership status arises indirectly – via a company, for example – the information should be recorded on one of the forms provided for this purpose, i.e. Form 41.521 (corporations/companies), Form 41.522 (partnerships), Form 41.523 (foundations or comparable legal entities), or separately. ⁵Sections 4 (6) and 6 (2) No. 1 GwG. ⁶PEP = Politically Exposed Person; clarification of PEP status is necessary for each natural person – for both the contracting party and the beneficial owner.

Ausfertigung für die Bank (Copy for the Bank)

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