

Information pursuant to the GwG¹ when accounts/custody accounts are opened by corporations

The Bank is obligated by law to collect and record in writing the following information. The account/custody account holder is obligated by law to cooperate in this respect and update the information (Section 4 (6) GwG).

Account/custody account holder

Account/custody account no.

Information on beneficial owner(s)

The beneficial owner is the natural person who ultimately owns or controls the account/custody account holder or at whose behest the business relationship is established. Control/ownership is presumed to exist if a person directly or indirectly controls more than 25 per cent of the shares or voting rights. Determination of the beneficial owner may be waived if the account/custody account holder is a company which is listed on an organised market within the meaning of Section 2 (5) WpHG² in the EU. In the case of listed companies from third countries whose transparency requirements with respect to holdings of voting rights are equivalent to those under Community law, or if any other case covered by Section 5 (2) GwG applies, determination of the beneficial owner may also be waived.

I. Information when account/custody account holder is acting at the behest of third party

The account/custody account holder is acting at the behest of the following natural person. If the account/custody account holder is acting at the behest of **another company**, the name of this company should be entered here. Further details of its ownership/control structure should be recorded on another Form 41.221/41.222/41.223 or separately.

Name (where applicable, name of company)	First name(s)	(if necessary, further identifying features (e.g. address/registered seat))

II. Information on ownership or control

1. Account/custody account holder

(Name of company)

is listed on an organised market within the meaning of Section 2 (5) WpHG on which transparency requirements with respect to holdings of voting rights in line with those under Community law or equivalent international standards apply.

Trading venue / market segment

Stock exchange / abbreviation

is an authority within the meaning of Section 5 (2) No. 4 GwG.

is a credit institution or other undertaking within the meaning of Section 5 (2) No. 1 GwG.

has no recognisable beneficial owner, as the holding limits are not exceeded (i.e. not more than 25 per cent of shares or voting rights) and there is no evidence of other effective control.

2. The above-mentioned account/custody account holder (company) does not fall under subparagraph 1. The beneficial owner(s) pursuant to Section 1 (6) GwG is (are):

Name and first name(s)	Amount of holding Shares/voting rights – directly/indirectly –	if necessary, further identifying features (e.g. address)
1		
2		
3		
4		

Details of ownership and control structure

see enclosure

Place, date, signature of bank employee