

Information pursuant to the GwG¹ when accounts/custody accounts are opened by foundations and comparable legal entities

The Bank is obligated by law to collect and record in writing the following information. The account/custody account holder is obligated by law to cooperate in this respect and update the information (Section 4 (6) GwG).

Account/custody account holder

Account/custody account no.

Information on beneficial owner(s)

In the case of foundations and legal constructions with legal capacity which administer or distribute assets in a fiduciary capacity or entrust third parties with such administration or distribution, or comparable legal entities, the beneficial owner(s) is (are) the natural person(s) who is (are) the beneficiary (beneficiaries) with respect to 25 per cent or more of the assets or control(s) these assets.

If the beneficiary has not yet been determined but the assets are administered mainly for a group of natural persons, this group of natural persons is deemed to be the beneficial owner (Section 1 (6) No. 2 GwG).

I. Information when account/custody account holder is acting at the behest of third party

The account/custody account holder is acting at the behest of the following natural person. If the account/custody account holder is acting at the behest of **another company**, the name of this company should be entered here. Further details of its ownership/control structure should be recorded on another Form 41.221/41.222/41.223 or separately.

Name (where applicable, name of foundation/company)	First name(s)	(if necessary, further identifying features (e.g. address/registered seat))

II. Information on ownership or control

Account/custody account holder (name of foundation or comparable legal entity)

has no recognisable beneficial owner.

The beneficial owner(s) pursuant to Section 1 (6) No. 1 GwG is (are):

Name and first name(s)	if necessary, further identifying features (e.g. address)
1	
2	
3	
4	

Ausfertigung für die Bank (Copy for the Bank)

¹Geldwäschegesetz (GwG) = German Anti-Money Laundering Act